JC03 Rec'd PCT/PT0 1 2 APR 2005 PTO-1390 (New 202-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

DESIGNATED/ELECTED OFFICE (DO/EO/US)				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
CON	CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			4	10/52105	n	
	IONAL APPLICATION NO. 22004/000061	INTERNATIONAL 08 Jan. 2004		PRIORITY DA	TE CLAIMED 2003 (08.01.03)	•	
TITLE OF MODULA	NVENTION ATOR AND CORRECTIO	N METHOD THEREOF				-	
	PLICANT(S) FOR DO/EO/US						
	IRANO, Shunsuke and MIYAHARA, Yasunori.  plicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
Applicant	incart nerewith submits to the office States besignated/Elected Office (DO/EO/OS) the following items and other information.						
1. 🛛 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. L T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. 🔲 1	The US has been elected (Article 31).						
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a. is attached hereto (required only if not communicated by the International Bureau).						
	b. As been communicated by the International Bureau.						
	c. is not required, as the	e application was filed in the U	Inited States Receive	ing Office (RO/l	JS).		
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
<del></del> 1	b. has been previously	submitted under 35 U.S.C. 15	i4(d)(4).				
7.	Amendments to the claims of t	he International Application ur	nder PCT Article 19	(35 U.S.C. 371(	c)(3))		
	a. are attached hereto	(required only if not communi	cated by the Interna	tional Bureau).			
	b. have been commun	icated by the International Bu	reau.		•		
. <u>.</u> .	c. have not been mad	e; however, the time limit for n	naking such amendn	nents has NOT	expired.		
· ·	d. An have not been mad	e and will not be made.					
si ∐ □	An English language translation	on of the amendments to the c	laims under PCT Ar	ticle 19 (35 U.S	.C. 371(c)(3)).		
9. [24]	An oath or declaration of the in	ventor(s) (35 U.S.C. 371(c)(4)	)).				
10	An English language translation Article 36 (35 U.S.C. 371(c)(5)		ational Preliminary E	Examination Rep	oort under PCT		
Items	11 to 20 below concern docur	nent(s) or information inclu	ded:				
11. 🛛	An Information Disclosure Stat	ement under 37 CFR 1.97 and	d 1.98.				
12. 🔀	An assignment document for re	ecording. A separate cover sh	eet in compliance wi	ith 37 CFR 3.28	and 3.31 is included.		
13.	A preliminary amendment.						
14.	An Application Data Sheet und	er 37 CFR 1.76.					
15.	A substitute specification.						
16.	A power of attorney and/or cha	inge of address letter.					
17.	A computer-readable form of the	ne sequence listing in accorda	nce with PCT Rule	13 <i>ter</i> .2 and 37 (	CFR 1.821- 1.825.		
18. 🗀	A second copy of the published	International Application und	ler 35 U.S.C. 154(d)	(4).			
19. 🔲	A second copy of the English I						
20. 🔯	Other items or information:	Return Receipt Po	stcard: Cert	t <sub>Report</sub> exp	ress Mail; and		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC13 Rec'd PCT/PTO 12 APR 2009

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/JP2004/000061 38028 CALCULATIONS PTO USE ONLY The following fees have been submitted 21. 🔯 Basic national fee \$300 300.00 22. 💢 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations..... 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 All other situations.....\$500 900.00 \$ TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 48 - 100 = O 0.00 /50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). 0.00 CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ 210 \$ Total claims - 20 = x \$50 300.00 \$ Independent claims - 3 = \$200 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 360.00 **TOTAL OF ABOVE CALCULATIONS =** 2160.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00 \$ 2140.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 2160.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 2200.00 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of \$ 2200.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🔽 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0620. A duplicate copy of this sheet is enclosed.

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Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to ive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 116 NAME 27676

REGISTRATION NUMBER

; •

## **CERTIFICATE OF EXPRESS MAIL**

Appl. No.

TBD

Applicant

Shunsuke Hirano et al.

Filed

Herewith

Title

MODULATOR AND CORRECTION METHOD THEREOF

Int'l. Application No.

PCT/JP2004/000061

Int'l. Filing Date

January 8, 2004

Conf. No. TC/A.U. Examiner

TBD TBD TBD

Customer No.

000,116

Docket No.

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Amanda Wittine
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i Amazaa Wittine
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